**Application passerelle (certificate change)**

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| 1. **Personal data**
 |
| Title | [ ]  Mr | [ ]  Mrs |
| First Name |       |
| Name |       |
| Street / Number |       |
| Postal code / Place |       |
| Private telephone number |       |
| Business telephone number |       |
| Private e-mail address |       |
| Birthdate |       |

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| 1. **Passerelle**
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| Current certification programme | [ ]  Advisor Private Clients (PC) | [ ]  Advisor SME Clients | [ ]  Advisor Affluent Clients (AFFL)  |
|  | [ ]  Advisor Individual Clients (IC) | [ ]  Corporate Banker CCOB | [ ]  Wealth Management Advisor CWMA |
| Certificate N°: |       | Expiration date: |       (the current certificate must be valid) |
| New certification programme |       |
| Certificate language | [ ]  German | [ ]  French | [ ]  Italian | [ ]  English |

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| 1. **Current professional situation**
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| Employer |       |
|  |       |
|  |       |
| Function/Role |       |
| Contact/Supervisor |       |
| *For IWM only* | *[ ]  Authorisation by FINMA* | *[ ]  Member SRO:* |

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| 1. **Requirements for candidates**
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| Candidates must meet the following requirements at the time of the passerelle according to the certification programme:* must be employed by a financial institution.
* must have a client book, participate in such a book or work as an independent specialist for clients and be in direct contact with them.
* must have a role corresponding to the certification program

**Addition for IWMs/financial intermediaries:*** The undersigning employer holds a qualified framework contract with a bank licensed in Switzerland
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| 1. **Passerelle Client Advisor Bank**
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| The validity of the current certificate is taken over if no proof of exams is required. Otherwise the new certificate has a validity of 3 years. The following passages are possible: |
| **Current certificate** | **New certificate** | **Proof of exams** |
| CWMA | AFFL, IC, PC | None |
| AFFL | IC, PC | None |
| IC | PC | None |
| CCoB | SME | None |
| PC | IC | written GAP exam and oral IC exam |
| SME | CCoB | written GAP exam and oral CCoB exam |
| AFFL | CWMA | written GAP exam and oral CWMA exam |
| Documents to submit: - Signed application passerelle Client Advisor Bank - Original SAQ certificate (current) - (Proof of exams) |

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| 1. **Verification of the application**
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| If the request is successful, the certificate will be sent by post together with an invoice for the passerelle fee of CHF 240.00. |

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| 1. **Confirmation employer**
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| With this signature the employer confirms that the information provided concerning the employment and role of the candidate is true and complete |
|  | **Place** | **Date** | **Signature** |
| **Employer**(only valid if stamped) |       |       |  |

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| 1. **Signature of candidate**
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| I accept the current examination regulations and the corresponding certification program, as well as the passerelle fee of CHF 240.00. The information provided in this form is complete and true. |
|  | **Place** | **Date** | **Signature** |
| **Candidate** |       |       |  |

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| **To be filled in by the certification body SAQ** |
| Person responsible | Person responsible | Person responsible |